

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

98-2069

In Re Application Of: Ronald A. Guidotti et al.

JAN 17 2003

PTENT & TRADEMARK OFFICE

Serial No.

09/432,334

Filing Date

11/02/1999

Examiner

John S. Maples

Group Art Unit

1745

Title: ENERGY STORAGE AND CONVERSION DEVICES USING THERMAL SPRAYED ELECTRODES

Address to:
Assistant Commissioner for Patents
 Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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ENERGY STORAGE AND CONVERSION DEVICES USING THERMAL SPRAYED ELECTRODES

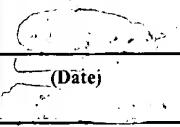
Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \$180.00 is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below. A duplicate copy of this sheet is enclosed.
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- Credit any overpayment.
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Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F)


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Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited 01/08/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Patricia A. Hart

Typed or Printed Name of Person Mailing Certificate

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Signature

Karen A. LeCuyer

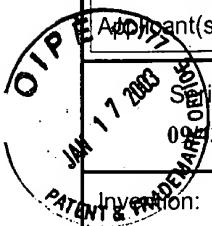
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Dated: January 8, 2003

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AMENDMENT TRANSMITTAL LETTER (Large Entity)

Applicant(s): Ronald A. Guidotti et al.

Docket No.

98-2069

Serial No.
09/32,334Filing Date
11/02/1999Examiner
John S. MaplesGroup Art Unit
1745

Invention: ENERGY STORAGE AND CONVERSION DEVICES USING THERMAL SPRAYED ELECTRODES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS.	20	20 =	0	x \$18.00	\$0.00
INDEP. CLAIMS	4	3 =	1	x \$84.00	\$84.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$84.00

- No additional fee is required for amendment.
- Please charge Deposit Account No. in the amount of
A duplicate copy of this sheet is enclosed.
- A check in the amount of \$84.00 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 06-1130
A duplicate copy of this sheet is enclosed.
- Any additional filing fees required under 37 C.F.R. 1.16.
- Any patent application processing fees under 37 CFR 1.17.

Karen A. LeCuyer

Signature

Dated: January 8, 2003

Karen A. LeCuyer

Registration No.: 51,928

Customer No.: 23413

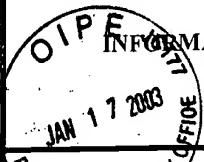
I certify that this document and fee is being deposited on 01/08/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Patricia A. Hart

Typed or Printed Name of Person Mailing Correspondence

CC:



~~INFORMATION DISCLOSURE CITATION~~

(Use several sheets if necessary)

JAN 17 2003

Docket Number (Optional)

98-2069

Application Number

09/432,334

Applicant(s)

Ronald A. Guidotti et al.

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U.S. PATENT DOCUMENTS

TECHNOLOGY CENTER 1700

RECEIVED

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.